



**CALDWELL**  
UNIVERSITY

### **Making Gifts of Stock or Appreciated Securities**

#### **Transferring securities held at a brokerage firm:**

To transfer shares held with a brokerage firm, provide the following information to your broker:

Bank Name: Provident Bank

Bank Address: 100 Wood Ave. S., Iselin, NJ 08830

Local Clearing Code/Routing Number: 221272303

Swift BIC: PRNDUS33XXX

Bank Account Number: 1001050421

Bank Account Name: Caldwell University, Inc.

#### **Other transfers:**

Tim Ringkamp - Senior Institutional Trust Administrator TIAA, FSB

Phone: 314-244-5125 [tringkamp@tiaa.org](mailto:tringkamp@tiaa.org)

DTC Participant number: 2663

Agent Internal account: 20075-D

FFC: Caldwell U 882331401

#### **Gifts at year-end**

If you wish to make a gift of securities for the current tax year, start early to ensure that your gift will be completed by December 31. While the University receives some electronic transfers within days of when the gift was initiated, longer delays are possible, especially at year-end. Gifts of mutual funds take longer than stock gifts, often several weeks. Contact your broker for their deadline to complete transfers by year-end.

#### **Notifying the University**

Caldwell University often receives gifts of securities without any donor-identifying information. If you plan to transfer securities, please contact the foundation and provide the following information:

- Type/number of shares you are transferring
- Approximate date of transfer
- Gift designation instruction